

DRAFT Audit & Risk Committee Workplan 2022/23

Workplan Item	Author	Frequency	Purpose
20th July 2022			
Training Session - Statement of Accounts			
Schedule of Meetings	Deputy Director of Finance	Annual	Committee to Note
Statement of Accounts and External Audit Plan 2022-23	Grant Thornton, External Auditor / Chief Operat	Annual	Committee to note
Internal Audit Plan 2022/23	Head of Internal Audit	Annual	Committee to note
Internal Audit 2021/22 Q4 update and Annual Report	Head of Internal Audit	Periodic	Committee to note
Regulation of Investigatory Powers Act 2000 - Bi-Annual Performance Report January 2022 – June 2022	Head of Information Governance and Risk	Bi-Annual	Committee to Note
Draft of the Committee's Annual Report to Council 2021/22 (ensure on Council agenda)	Deputy Director of Finance	Annual	Approval
28th September 2022			
Training Session - ??????			
Social Care Item - Lessons from DHRs/SARs/SCRs	Strategic Director, Social Care & Education	Adhoc	Committee to note
Revised CIPFA Guidance on Audit Committees and Independent Member recruitment	Head of Finance	Periodic	Committee to Note
Annual Insurance Report	Head of Finance	Annual	Committee to note
Update on Third Party Relationships and Governance at LCC	Head of Finance	Periodic	Committee to note
National Fraud Initiative Update	Corporate Investigations Manager	Mid Year	Committee to Note
Anti-Fraud, Corruption and Bribery Policy - 3-year report	Head of Revenues and Customer Support	Every 3 Years	Approval
Internal Audit update report	Head of Internal Audit	Periodic	Committee to note
22nd November 2022			
Training Session - ??????			
	Chief Operating Officer (\$151)/ Head of Finance		
Statement of Accounts, Annual Governance Statement & ISA260 2021/22		Annual	Approval
Annual Audit Letter & Annual Audit Report 2021/22	Grant Thornton	Annual	Committee to note
Whistle Blowing	Monitoring Officers	Periodic	Committee to note
Procurement of External Auditor for 2023/24 to 2027/28 (DRAFT DATE)	Deputy Director of Finance		Committee to note
Risk Management Update report	Manager, Risk Management	Bi-Annual	Committee to note
Counter Fraud Annual Report 2021/22 & Mid Year Update	Corporate Investigations Manager	Annual	Committee to Note
Internal Audit update report	Head of Internal Audit	Periodic	Committee to note
18th January 2023			
Training Session - ??????			
Financial Update Report	Chief Accountant	Periodic	Committee to note
Cyber Security Risk	City Information Officer	Adhoc	Committee to note
Local Government & Social Care Ombudsman and Housing Ombudsman Complaints 2021/22	Head of Revenues and Customer Support	Annual	Committee to note
Corporate Complaints (Non Statutory) 2021/22	Head of Revenues and Customer Support	Annual	Committee to note
The Assurance Framework on which we will base the Annual Governance Statement, including annual review of			
Local Code of Corporate Governance and the annual review of the Committee's Terms of Reference	Head of Finance	Annual	Approval
Internal Audit Charter	Head of Internal Audit	Periodic	Approval
Internal Audit Update Report	Head of Internal Audit	Periodic	Committee to note
15th March 2023			
Training Session - ??????			
External Audit - Certification of claims and returns (grants)	Grant Thornton, External Auditor	Annual	Committee to note
External Audit Plan 2022-23 & Informing the Audit Risk Assessment	Grant Thornton, External Auditor	Annual	Committee to note
Regulation of Investigatory Powers Act 2000 - Bi-Annual Performance	Head of Information Governance and Risk	Bi-Annual	Committee to note
National Fraud Initiative Update	Corporate Investigations Manager	Mid Year	Committee to Note
Risk Management Update report	Manager, Risk Management	Bi-Annual	Committee to note
Risk Management & Business Continuity Strategy and Policies 2023	Manager, Risk Management	Annual	Committee to note
Internal Audit Update report	Head of Internal Audit	Periodic	Committee to note
Internal Audit Service - Annual Plan 2023-24	Head of Internal Audit	Annual	Approval